

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Stephens Inc. Federal Political Action Committee

ADDRESS (number and street)

111 Center Street

PO Box 3507

☐ Check if different than previously reported. (ACC)

Little Rock

AR

72201

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00166553

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☒ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

AR

5. Covering Period

M M M / D D D / Y Y Y Y Y Y

10

16

2014

through

M M M / D D D / Y Y Y Y Y Y

11

24

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Frank Thomas

Signature of Treasurer

Mr. Frank Thomas

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y

12

03

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Stephens Inc. Federal Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 16 / 2014 To: M M / D D / Y Y Y Y Y 11 / 24 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2014		19362.16
(b) Cash on Hand at Beginning of Reporting Period.....	16151.93	
(c) Total Receipts (from Line 19)	2738.06	33220.60
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	18889.99	52582.76
7. Total Disbursements (from Line 31)	8521.94	42214.71
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	10368.05	10368.05
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Stephens Inc. Federal Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y
10 16 2014

To:

M M / D D / Y Y Y Y
11 24 2014**I. Receipts****COLUMN A**
Total This Period**COLUMN B**
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

2498.81

23292.62

(ii) Unitemized

239.25

4927.98

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

2738.06

28220.60

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

2738.06

28220.60

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

5000.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

2738.06

33220.60

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

2738.06

33220.60

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	21.94	214.71
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	21.94	214.71
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	8500.00	42000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	8521.94	42214.71
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	8500.00	42000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	2738.06	28220.60
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	2738.06	28220.60
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Dick Albertson

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

385.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7353

Amount of Each Receipt this Period

38.50

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Mr. H. Kenneth Bennett

Mailing Address 19 Huntington Rd.

City State Zip Code
 Little Rock AR 72207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7355

Amount of Each Receipt this Period

83.33

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Mr. Richard H. Blank Jr.

Mailing Address 5618 Edgewood Road

City State Zip Code
 Little Rock AR 72207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7357

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

171.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Larry Bowden

Mailing Address 815 Beechwood #B

City State Zip Code
 Little Rock AR 72205

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7359

Amount of Each Receipt this Period

150.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Kathy Bryant

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7360

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Arthur Buring

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7361

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Brian A. Bush

Mailing Address 12408 Timber Bend

City State Zip Code
 Little Rock AR 72211

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

10 / 31 / 2014

Transaction ID : SA11AI.7363

Amount of Each Receipt this Period

40.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Mr. Ed Carter

Mailing Address 111 Center Street

City State Zip Code
 Little Rock AR 72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

10 / 31 / 2014

Transaction ID : SA11AI.7364

Amount of Each Receipt this Period

41.66

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Cynthia Cole

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

10 / 31 / 2014

Transaction ID : SA11AI.7367

Amount of Each Receipt this Period

30.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

111.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 18
(check only one)

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Jim Compton

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7368

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Chris Cooley

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1670.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7369

Amount of Each Receipt this Period

167.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Mr Chad Crank

Mailing Address 111 Center Street

City State Zip Code
 Little Rock AR 72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7371

Amount of Each Receipt this Period

166.66

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

383.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Chad Delp

Mailing Address PO Box 3507

City

Little Rock

State

AR

Zip Code

72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7372

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Mr. Brad Eichler

Mailing Address 111 Center Street

City

Little Rock

State

AR

Zip Code

72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7373

Amount of Each Receipt this Period

150.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Mr David Greene

Mailing Address 111 Center Street

City

Little Rock

State

AR

Zip Code

72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7375

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 18
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mary Hale

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7376

Amount of Each Receipt this Period

25.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Jason Jarcho

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7377

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Mr. Alex Jordan

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7380

Amount of Each Receipt this Period

100.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

175.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. David Knight

Mailing Address 3 West Palisades

City State Zip Code
 Little Rock AR 72207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7383

Amount of Each Receipt this Period

83.33

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Mark McBryde

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7385

Amount of Each Receipt this Period

125.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Steven Middleton

Mailing Address 42 Chenal Circle

City State Zip Code
 Little Rock AR 72223

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7386

Amount of Each Receipt this Period

100.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

308.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. David Moix

Mailing Address 111 Center Street

City

Little Rock

State

AR

Zip Code

72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7388

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Joe Mowery

Mailing Address PO Box 3507

City

Little Rock

State

AR

Zip Code

72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7390

Amount of Each Receipt this Period

85.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. David Prince

Mailing Address PO Box 3507

City

Little Rock

State

AR

Zip Code

72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7392

Amount of Each Receipt this Period

30.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

165.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Mike Scott

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7394

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Phil Shellabarger

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7395

Amount of Each Receipt this Period

50.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Stephen Standridge

Mailing Address PO Box 3507

City State Zip Code
 Little Rock AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2014

Transaction ID : SA11AI.7396

Amount of Each Receipt this Period

200.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

300.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mr. Alan Tedford

Mailing Address PO Box 3507

City
Little Rock

State Zip Code
AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11Al.7397

Amount of Each Receipt this Period

100.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B. Mr. William Tedford

Mailing Address 5607 Hawthorne

City
Little Rock

State Zip Code
AR 72207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11Al.7398

Amount of Each Receipt this Period

83.33

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

C. Mr. Frank Thomas

Mailing Address 14601 Black Bear

City
Little Rock

State Zip Code
AR 72212

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc.

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11Al.7399

Amount of Each Receipt this Period

100.00

Monthly Payroll Deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

283.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bob Watkins

Mailing Address PO Box 3507

City
Little Rock

State Zip Code
AR 72203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stephens Inc

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 31 / 2014

Transaction ID : SA11AI.7400

Amount of Each Receipt this Period

100.00

Monthly Payroll Deduction

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

100.00

2498.81

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. FAMILIES FOR JAMES LANKFORD

Mailing Address PO BOX 1639

City	State	Zip Code
BETHANY	OK	73008

Purpose of Disbursement
US Senate Oklahoma

Candidate Name

FAMILIES FOR JAMES LANKFORD

Office Sought:

☐ House☒ Senate☐ President

Disbursement For: 2014

☐ Primary☒ General☐ Other (specify) ▼

State: OK

District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		20		2014

Transaction ID : SB23.7406

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

B. FRENCH HILL FOR ARKANSAS

Mailing Address PO BOX 7841

City	State	Zip Code
LITTLE ROCK	AR	72217

Purpose of Disbursement
State Representative District 3 AR

Candidate Name

FRENCH HILL FOR ARKANSAS

Office Sought:

☒ House☐ Senate☐ President

Disbursement For: 2014

☐ Primary☒ General☐ Other (specify) ▼

State: AR

District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		31		2014

Transaction ID : SB23.7407

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF JOHN BARRASSO

Mailing Address PO BOX 52008

City	State	Zip Code
CASPER	WY	82605

Purpose of Disbursement
US Senate

Candidate Name

FRIENDS OF JOHN BARRASSO

Office Sought:

☐ House☒ Senate☐ President

Disbursement For: 2018

☒ Primary☐ General☐ Other (specify) ▼

State: WY

District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		12		2014

Transaction ID : SB23.7408

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

8500.00

8500.00

SCHEDULE H4 (FEC Form 3X)**DISBURSEMENTS FOR ALLOCATED
FEDERAL/NONFEDERAL ACTIVITY**

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FOR LINE 21a OF FORM 3X

NAME OF COMMITTEE (In Full)

Stephens Inc. Federal Political Action Committee

A. Full Name (Last, First, Middle Initial) Bank of America		Transaction ID : H4.7409	Allocated Activity or Event: <input checked="" type="checkbox"/> Administrative <input type="checkbox"/> Fundraising <input type="checkbox"/> Exempt <input type="checkbox"/> Voter Drive <input type="checkbox"/> Direct Candidate Support <input type="checkbox"/> Public Comm (ref to party only) by PAC	
Mailing Address PO Box 25118				
City Tampa	State FL	Zip Code 33622-5118		
Purpose of Disbursement: Acct Analysis Fee			Allocated Activity or Event Year-To-Date 214.71	
Activity or Event Identifier: Administrative		Category/ Type	Date 10 / 31 / 2014	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
0.00			21.94	21.94

B. Full Name (Last, First, Middle Initial)		Allocated Activity or Event: <input type="checkbox"/> Administrative <input type="checkbox"/> Fundraising <input type="checkbox"/> Exempt <input type="checkbox"/> Voter Drive <input type="checkbox"/> Direct Candidate Support <input type="checkbox"/> Public Comm (ref to party only) by PAC	
Mailing Address			
City	State	Zip Code	
Purpose of Disbursement:			Allocated Activity or Event Year-To-Date
Activity or Event Identifier:		Category/ Type	Date
FEDERAL SHARE		+	NONFEDERAL SHARE
			TOTAL AMOUNT

C. Full Name (Last, First, Middle Initial)		Allocated Activity or Event: <input type="checkbox"/> Administrative <input type="checkbox"/> Fundraising <input type="checkbox"/> Exempt <input type="checkbox"/> Voter Drive <input type="checkbox"/> Direct Candidate Support <input type="checkbox"/> Public Comm (ref to party only) by PAC	
Mailing Address			
City	State	Zip Code	
Purpose of Disbursement:			Allocated Activity or Event Year-To-Date
Activity or Event Identifier:		Category/ Type	Date
FEDERAL SHARE		+	NONFEDERAL SHARE
			TOTAL AMOUNT

SUBTOTAL of Allocated Federal and NonFederal Activity This Page

FEDERAL SHARE	+	NONFEDERAL SHARE	=	TOTAL AMOUNT
0.00		21.94		21.94

TOTAL This Period (last page for each line only)(Federal share to 21(a)(i) and NonFederal share to 21(a)(ii))

FEDERAL SHARE		NONFEDERAL SHARE		TOTAL AMOUNT
0.00		21.94		21.94